

UNIT TRUST APPLICATION FORM

Investment Status New investor Existing Investor

INVESTOR DETAILS

First Name Title: Mr. / Mrs. / Miss

Surname/name of enterprise

Contact person (if applicable)

Identity/Registration No. Date of Birth

Postal address

Physical Address Plot/House/Street No Road/Street Name Residential Area

Town City Province P.O. Box Country

Telephone No. E-mail address

Occupation Source of Funds

Name of Employer

Employer's Telephone No

Employer's Address

This information given above is true and correct and maybe relied upon until written notice of any significant changes is sent.

UNIT TRUST

1. BALANCED FUND

I/(we) hereby apply for units in BALANCED FUND and understand that this investment will be subject to the trust deed governing ABC Unit Trust. I warrant that I have full authority to enter into this transaction. I/ (we) understand that past performance is not necessary a guide to the future. The price of units and the income from them may fluctuate and the value cannot be guaranteed.

Investment Amount: ZMW

Amount in words

TERMS OF INVESTMENT

- Initial minimum deposit of ZMW500
- Minimum monthly contribution of ZMW500 towards the amount required to meet your financial objectives with options of periodical contributions i.e. fortnightly, monthly or quarterly investments...
- Minimum investment period of 6 months.
- After minimum investment period, you are allowed 3 redemptions in a year
- Flexibility of setting maturity date to suit specific target
- Any withdrawals made before 6 months will attract a penalty fee.

Signature _____

Date

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2. MONEY MARKET FUND

I/(we) hereby apply for units in MONEY MARKET FUND and understand that this investment will be subject to the trust deed governing ABC Unit Trust. I warrant that I have full authority to enter into this transaction. I/ (we) understand that past performance is not necessary a guide to the future. The price of units and the income from them may fluctuate and the value cannot be guaranteed.

Investment Amount: ZMW

Amount in words

TERMS OF INVESTMENT

- Initial minimum deposit of ZMW500
- Minimum monthly contribution of ZMW500 towards the amount required to meet your financial objectives.
- Minimum investment period of 2 months.
- After minimum investment period, you are allowed 2 redemptions per month.
- Options of periodical contributions i.e. fortnightly, monthly or quarterly investments.
- Flexibility of setting maturity date to suit specific target
- Any withdrawals made before 6 months will attract a penalty fee.

Signature _____

Date

D	D	M	M	Y	Y	Y	Y
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3. MY STAR

I/(we) hereby apply for units in MY STAR and understand that this investment will be subject to the trust deed governing ABC Unit Trust. I warrant that I have full authority to enter into this transaction. I/ (we) understand that past performance is not necessary a guide to the future. The price of units and the income from them may fluctuate and the value cannot be guaranteed.

Investment Amount: ZMW

Amount in words

TERMS OF INVESTMENT

- Initial minimum deposit of ZMW 500.00
- Minimum monthly contribution of ZMW 500.00
- Minimum Investment period of 6 Months
- Maximum number of withdrawals limited to 3 per year
- Any withdrawals made before 6 months will attract a transaction fee.

Signature _____

Date

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4. ZMW HIGH YIELD

I/ (we) hereby apply for units in the ZMW HIGH YIELD FUND and understand that this investment will be subject to the trust deed governing ABC Unit Trust. I warrant that I have full authority to enter into this transaction. I/ (we) understand that past performance is not necessary a guide to the future. The price of units and the income from them may fluctuate and the value cannot be guaranteed.

Investment Amount: ZMW

Amount in words

TERMS OF INVESTMENT

- Initial minimum deposit of ZMW500, 000.00
- Minimum investment period of 12 months.
- After minimum investment period, you are allowed 1 redemption in a year.
- Any withdrawals made before 12 months will attract a penalty Fee

Signature _____

Date

5. EQUITY

I/ (we) hereby apply for units in EQUITY and understand that this investment will be subject to the trust deed governing ABC Unit Trust. I warrant that I have full authority to enter into this transaction. I/ (we) understand that past performance is not necessary a guide to the future. The price of units and the income from them may fluctuate and the value cannot be guaranteed.

Investment Amount: ZMW

Amount in words

TERMS OF INVESTMENT

- Initial minimum deposit of ZMW2, 000
- Minimum monthly contribution of ZMW500 towards the amount required to meet your financial objectives.
- Minimum investment period of 4 months.
- After minimum investment period, you are allowed 3 redemptions in a year.
- Options of periodical contributions i.e. fortnightly, monthly or quarterly investments.
- Flexibility of setting maturity date to suit specific target
- Any withdrawals made before 4 months will attract a penalty fee.

Signature _____

Date

6. UNITED STATES DOLLAR FUND

I/ (we) hereby apply for units in the USD FUND and understand that this investment will be subject to the trust deed governing ABC Unit Trust. I warrant that I have full authority to enter into this transaction. I/ (we) understand that past performance is not necessary a guide to the future. The price of units and the income from them may fluctuate and the value cannot be guaranteed.

Investment Amount: USD

Amount in words

TERMS OF INVESTMENT

- Initial minimum deposit of \$50
- No Withdrawals for the first 60 days of the initial investment or any subsequent investments.
- After minimum investment period of 60 days, you are allowed 2 redemptions per month in a year.
- Options of periodical contributions i.e. fortnightly, monthly or quarterly investments.

Signature _____

Date

D	D	M	M	Y	Y	Y	Y
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7. USD HIGH YIELD FUND

I/ (we) hereby apply for units in the USD HIGH YIELD FUND and understand that this investment will be subject to the trust deed governing ABC Unit Trust. I warrant that I have full authority to enter into this transaction. I/ (we) understand that past performance is not necessary a guide to the future. The price of units and the income from them may fluctuate and the value cannot be guaranteed.

Investment Amount: USD

Amount in words

TERMS OF INVESTMENT

- Initial minimum deposit of \$50, 000.00
- Minimum investment period of 12 months.
- After minimum investment period, you are allowed 1 redemption in a year.
- Any withdrawals made before 12 months will attract a penalty Fee

Signature _____

Date

D	D	M	M	Y	Y	Y	Y
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SIGNATURE CARD

Account Name

SIGNATURE MANDATE; PLEASE TICK

Either signatories to sign Any two signatories to sign All signatories to sign

(A)

Surname Title

First name

ID No. Date

D	D	M	M	Y	Y	Y	Y
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Address

Email Address

Telephone

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SIGNATURE SPECIMEN

(B)

Surname Title

First name

ID No. Date

Address

Email Address

Telephone

SIGNATURE SPECIMEN

(C)

Surname Title

First name

ID No. Date

Address

Email Address

Telephone

SIGNATURE SPECIMEN

FOR OFFICE USE

Copy of I.D Account Name Created

2 Passport size photos Opened By

Proof of residence Authorized By

Reference letter

ACCOUNT OPENING REQUIREMENTS CHECK LISTS

- Two recent passport size photographs (2).
- Valid National Registration Card, passport or driver’s license.
- Proof of residence not older than 3 months: Tenancy Agreement and/or copy of utility bill (water/electricity/rates)
- Reference letter from an existing customer, employer and/or commissioner of Oaths.